

| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | WWW | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|-----|-----------|-------|
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|-----|-----------|-------|

### ☐ 3. Document ID: US 6751635 B1

L1: Entry 3 of 3

File: USPT

Jun 15, 2004

US-PAT-NO: 6751635

DOCUMENT-IDENTIFIER: US 6751635 B1

TITLE: File deletion and truncation using a zombie file space

DATE-ISSUED: June 15, 2004

## INVENTOR-INFORMATION:

| NAME             | CITY      | STATE | ZIP CODE | COUNTRY |
|------------------|-----------|-------|----------|---------|
| Chen; Ray        | Campbell  | CA    |          |         |
| Edwards; John K. | Sunnyvale | CA    |          |         |
| Patel; Kayuri    | Cupertino | CA    |          |         |

## ASSIGNEE-INFORMATION:

| NAME                    | CITY      | STATE | ZIP CODE | COUNTRY | TYPE CODE |
|-------------------------|-----------|-------|----------|---------|-----------|
| Network Appliance, Inc. | Sunnyvale | CA    |          |         | 02        |

APPL-NO: 09/642066 [PALM]

DATE FILED: August 18, 2000

INT-CL-ISSUED: [07] G06 F 17/30

US-CL-ISSUED: 707/200; 707/10, 707/202, 707/206

US-CL-CURRENT: 707/200; 707/10, 707/202, 707/206

FIELD-OF-CLASSIFICATION-SEARCH: 707/10, 707/201, 707/203, 707/206, 707/204, 707/205, 707/200, 707/202, 711/113, 711/114, 709/203, 709/219, 709/229

See application file for complete search history.

PRIOR-ART-DISCLOSED:

## U.S. PATENT DOCUMENTS

| PAT-NO         | ISSUE-DATE    | PATENTEE-NAME   | US-CL   |
|----------------|---------------|-----------------|---------|
| <u>4888681</u> | December 1989 | Barnes et al.   | 707/101 |
| <u>4937763</u> | June 1990     | Mott            | 702/183 |
| <u>5067099</u> | November 1991 | McCown et al.   | 702/183 |
| <u>5088031</u> | February 1992 | Takasaki et al. | 709/100 |
| <u>5155835</u> | October 1992  | Belsan          | 711/114 |
| <u>5193184</u> | March 1993    | Belsan et al.   | 711/4   |
| <u>5317728</u> | May 1994      | Tevis et al.    | 707/204 |
| <u>5403639</u> | April 1995    | Belsan et al.   | 707/204 |
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|                     |                |                     |           |
|---------------------|----------------|---------------------|-----------|
| <u>5557747</u>      | September 1996 | Rogers et al.       | 709/223   |
| <u>5564037</u>      | October 1996   | Lam                 | 711/161   |
| <u>5617568</u>      | April 1997     | Ault et al.         |           |
| <u>5832522</u>      | November 1998  | Blickenstaff et al. | 707/204   |
| <u>5946685</u>      | August 1999    | Cramer et al.       | 707/10    |
| <u>5963962</u>      | October 1999   | Hitz et al.         | 707/202   |
| <u>5991753</u>      | November 1999  | Wilde               | 707/2     |
| <u>5996054</u>      | November 1999  | Ledain et al.       | 711/203   |
| <u>6026402</u>      | February 2000  | Vossen et al.       |           |
| <u>6041334</u>      | March 2000     | Cannon              | 707/204   |
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| <u>6112211</u>      | August 2000    | Bradshaw et al.     | 707/205   |
| <u>6173293</u>      | January 2001   | Thekkath et al.     | 707/201   |
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| <u>6249792</u>      | June 2001      | Zwilling et al.     | 707/205   |
| <u>6289356</u>      | September 2001 | Hitz et al.         | 707/201   |
| <u>6334114</u>      | December 2001  | Jacobs et al.       | 705/26    |
| <u>6353878</u>      | March 2002     | Dunham              | 711/162   |
| <u>6366988</u>      | April 2002     | Skiba et al.        | 711/165   |
| <u>6529921</u>      | March 2003     | Berkowitz et al.    | 715/500.1 |
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| FOREIGN-PAT-NO | PUBN-DATE     | COUNTRY | CLASS     |
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| 694 25 658     | August 2000   | DE      | 400/332.4 |
| 0 474 395      | August 1991   | EP      |           |
| 0537098        | April 1993    | EP      |           |
| 702 815        | August 2000   | EP      |           |
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Ott et al., SRED: Stabilized RED, undated, Bellcore and Bell Laboratory, pp. 1-10.\*

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Elnozahy et al., A Survey of Rollback-Recovery Protocols in Message-Passing Systems, ACM Computing surveys, vol. 34, No. 3, Sep. 2002, pp. 375-408.\*

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David Hitz, "Technical Report TRO1: An NFS File Server Appliance", Rev. A 8/93, Network Appliance Corporation, Santa Clara, CA.

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John Ousterhout & Fred Dougkis, "Beating the I/O Bottleneck: A Case for Log-Structured File Systems". Electrical Engineering and Computer Sciences, UC Berkeley, CA, Oct., 1988.

Network Appliance. Data ONTAP Event Management System, Aug. 10, 2000.

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TUX 2: Slashdot.com TUX 2 "The File System That Would Be King", Microsoft Internet Explorer, Oct. 20, 2000.

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Hutchinson et al. "Logical vs. physical file system back up." Third Symposium on Operating Systems Design and Implementation, New Orleans, LA, USA, Feb. 22-25, 1999, pp. 239-249.

ART-UNIT: 2177

PRIMARY-EXAMINER: Homere; Jean R.

ASSISTANT-EXAMINER: Wong; Leslie

ATTY-AGENT-FIRM: Swernofsky Law Group PC

ABSTRACT:

A method and system for reliably performing extra-long operations in a reliable state-full system (such as a file system). The file system includes a separate portion of the file system reserved for files having extra-long operations in progress, including file deletion and file truncation. This separate portion of the file system is called the zombie file space; it includes a separate name space from the regular ("live") file system that is accessible to users, and is maintained as part of the file system when recording a consistency point.

27 Claims, 4 Drawing figures

|      |       |          |       |        |                |      |           |  |  |        |     |           |       |
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|-----|-----------|-------|
| Full | Title | Citation | Front | Review | Classification | Date | Reference |  |  | Claims | WMC | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|-----|-----------|-------|

|       |                     |       |          |           |               |
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| Clear | Generate Collection | Print | Fwd Refs | Bkwd Refs | Generate OACS |
|-------|---------------------|-------|----------|-----------|---------------|

| Term  | Documents |
|---|-----------|
| ZOMBIE  | 193       |
| ZOMBIES   | 57        |
| FILESPACE   | 37        |
| FILESACES   | 4         |
| (FILESPACE NEAR ZOMBIE) .PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD.  | 3         |
| (ZOMBIE NEAR FILESPACE ) .PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD. | 3         |

**Display Format:**

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## Search Results - Record(s) 1 through 3 of 3 returned.

☐ 1. Document ID: US 20050033775 A1

Using default format because multiple data bases are involved.

L1: Entry 1 of 3

File: PGPB

Feb 10, 2005

PGPUB-DOCUMENT-NUMBER: 20050033775

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050033775 A1

TITLE: File deletion and truncation using a zombie file space

PUBLICATION-DATE: February 10, 2005

## INVENTOR-INFORMATION:

| NAME             | CITY      | STATE | COUNTRY |
|------------------|-----------|-------|---------|
| Chen, Ray        | Campbell  | CA    | US      |
| Edwards, John K. | Sunnyvale | CA    | US      |
| Patel, Kayuri    | Cupertino | CA    | US      |

US-CL-CURRENT: 707/200

| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | IAAC | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|------|-----------|-------|
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|------|-----------|-------|

☐ 2. Document ID: US 20020083081 A1

L1: Entry 2 of 3

File: PGPB

Jun 27, 2002

PGPUB-DOCUMENT-NUMBER: 20020083081

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020083081 A1

TITLE: Manipulation of zombie files and evil-twin files

PUBLICATION-DATE: June 27, 2002

## INVENTOR-INFORMATION:

| NAME             | CITY      | STATE | COUNTRY |
|------------------|-----------|-------|---------|
| Chen, Raymond C. | Campbell  | CA    | US      |
| Edwards, John    | Sunnyvale | CA    | US      |
| Patel, Kayuri    | Cupertino | CA    | US      |

US-CL-CURRENT: 707/200

[First Hit](#)[Previous Doc](#)[Next Doc](#)[Go to Doc#](#)

Generate Collection

Print

L6: Entry 5 of 16

File: PGPB

Sep 4, 2003

DOCUMENT-IDENTIFIER: US 20030167287 A1

TITLE: Information protection system

Detail Description Paragraph:

[0061] The file checking process may perform an additional check for unauthorized zombie files at the target location 110. If a file is found at the target location 110 that is not contained in archive 100, then the file is checked to determine if it is a zombie file. This zombie file check may include checking the file against a list of files and folders that are to be excluded for checking for added files. If the file is in the exclusion list, then the added file is ignored. If the file is not in the exclusion list, then the file at the target location 110 may be copied into quarantine area 120 and removed from the target location 110. The zombie file check process may be utilized to prevent hackers from placing files on a web site with the intent of using the web server as a Zombie server to host their files.

Detail Description Paragraph:

[0062] A file quarantine process in accordance with various aspects of the present invention, referring now to FIG. 9, suitably uses a quarantine area 120 to hold files that have been detected as altered files or as zombie files, so that the files may be later inspected. During a file checking session, a location within the quarantine area may be created (step 900) when the first file that needs to be quarantined is detected. The quarantine location may be identified (step 910) by use of a unique identifier such as using the date and time of the file checking processes, the name of the file collection 300 that is being checked, the name of the target location 110 that is holding the altered file, and/or the name of the altered file itself.

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# Hit List

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## Search Results - Record(s) 1 through 16 of 16 returned.

☐ 1. Document ID: US 20050033775 A1

Using default format because multiple data bases are involved.

L6: Entry 1 of 16

File: PGPB

Feb 10, 2005

PGPUB-DOCUMENT-NUMBER: 20050033775

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050033775 A1

TITLE: File deletion and truncation using a zombie file space

PUBLICATION-DATE: February 10, 2005

## INVENTOR-INFORMATION:

| NAME             | CITY      | STATE | COUNTRY |
|------------------|-----------|-------|---------|
| Chen, Ray        | Campbell  | CA    | US      |
| Edwards, John K. | Sunnyvale | CA    | US      |
| Patel, Kayuri    | Cupertino | CA    | US      |

US-CL-CURRENT: 707/200

| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | IMMC | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|------|-----------|-------|
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|------|-----------|-------|

☐ 2. Document ID: US 20040139273 A1

L6: Entry 2 of 16

File: PGPB

Jul 15, 2004

PGPUB-DOCUMENT-NUMBER: 20040139273

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040139273 A1

TITLE: Space allocation in a write anywhere file system

PUBLICATION-DATE: July 15, 2004

## INVENTOR-INFORMATION:

| NAME                 | CITY      | STATE | COUNTRY |
|----------------------|-----------|-------|---------|
| Doucette, Douglas P. | Freeland  | WA    | US      |
| Lewis, Blake         | Palo Alto | CA    | US      |
| Edwards, John K.     | Sunnyvale | CA    | US      |

US-CL-CURRENT: 711/100

| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | RWMC | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|------|-----------|-------|
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☐ 3. Document ID: US 20040059866 A1

L6: Entry 3 of 16

File: PGPB

Mar 25, 2004

PGPUB-DOCUMENT-NUMBER: 20040059866  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20040059866 A1

TITLE: System and method for representing named data streams within an on-disk structure of a file system

PUBLICATION-DATE: March 25, 2004

INVENTOR-INFORMATION:

| NAME             | CITY      | STATE | COUNTRY |
|------------------|-----------|-------|---------|
| Patel, Kayuri    | Cupertino | CA    | US      |
| Muhlestein, Mark | Tuscon    | AZ    | US      |

US-CL-CURRENT: 711/100

| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | RWMC | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|------|-----------|-------|
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☐ 4. Document ID: US 20030182253 A1

L6: Entry 4 of 16

File: PGPB

Sep 25, 2003

PGPUB-DOCUMENT-NUMBER: 20030182253  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20030182253 A1

TITLE: System and method for restoring a single file from a snapshot

PUBLICATION-DATE: September 25, 2003

INVENTOR-INFORMATION:

| NAME             | CITY          | STATE | COUNTRY |
|------------------|---------------|-------|---------|
| Chen, Raymond C. | Campbell      | CA    | US      |
| Patel, Kayuri    | Cupertino     | CA    | US      |
| Kahn, Andy C.    | San Francisco | CA    | US      |
| Edwards, John K. | Sunnyvale     | CA    | US      |

US-CL-CURRENT: 707/1

| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | RWMC | Draw Desc | Image |
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☐ 5. Document ID: US 20030167287 A1



L6: Entry 5 of 16

File: PGPB

Sep 4, 2003

PGPUB-DOCUMENT-NUMBER: 20030167287  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20030167287 A1

TITLE: Information protection system

PUBLICATION-DATE: September 4, 2003

## INVENTOR-INFORMATION:

| NAME          | CITY            | STATE | COUNTRY |
|---------------|-----------------|-------|---------|
| Forster, Karl | Paradise Valley | AZ    | US      |

US-CL-CURRENT: 707/203

| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | KWIC | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|------|-----------|-------|
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☐ 6. Document ID: US 20020083081 A1

L6: Entry 6 of 16

File: PGPB

Jun 27, 2002

PGPUB-DOCUMENT-NUMBER: 20020083081  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20020083081 A1

TITLE: Manipulation of zombie files and evil-twin files

PUBLICATION-DATE: June 27, 2002

## INVENTOR-INFORMATION:

| NAME             | CITY      | STATE | COUNTRY |
|------------------|-----------|-------|---------|
| Chen, Raymond C. | Campbell  | CA    | US      |
| Edwards, John    | Sunnyvale | CA    | US      |
| Patel, Kayuri    | Cupertino | CA    | US      |

US-CL-CURRENT: 707/200

| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | KWIC | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|------|-----------|-------|
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|------|-----------|-------|

☐ 7. Document ID: US 20020083037 A1

L6: Entry 7 of 16

File: PGPB

Jun 27, 2002

PGPUB-DOCUMENT-NUMBER: 20020083037  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20020083037 A1

TITLE: Instant snapshot

PUBLICATION-DATE: June 27, 2002

## INVENTOR-INFORMATION:

| NAME                    | CITY      | STATE | COUNTRY |
|-------------------------|-----------|-------|---------|
| Lewis, Blake            | Palo Alto | CA    | US      |
| Edwards, John           | Sunnyvale | CA    | US      |
| Viswanathan, Srinivasan | Fremont   | CA    | US      |

US-CL-CURRENT: 707/1

| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | WWW | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|-----|-----------|-------|
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|-----|-----------|-------|

☐ 8. Document ID: US 6952797 B1

L6: Entry 8 of 16

File: USPT

Oct 4, 2005

US-PAT-NO: 6952797

DOCUMENT-IDENTIFIER: US 6952797 B1

TITLE: Block-appended checksums

DATE-ISSUED: October 4, 2005

## INVENTOR-INFORMATION:

| NAME                    | CITY          | STATE | ZIP CODE | COUNTRY |
|-------------------------|---------------|-------|----------|---------|
| Kahn; Andy              | Santa Clara   | CA    | 95050    |         |
| Sundaram; Rajesh        | Mountain View | CA    | 94040    |         |
| Viswanathan; Srinivasan | Fremont       | CA    | 94536    |         |
| Kleiman; Steven R.      | Los Altos     | CA    | 94022    |         |

APPL-NO: 09/696666 [PALM]

DATE FILED: October 25, 2000

INT-CL-ISSUED: [07] G11 C 29/00, H03 M 13/00

US-CL-ISSUED: 714/770; 714/758

US-CL-CURRENT: 714/770; 714/758

FIELD-OF-CLASSIFICATION-SEARCH: 714/770, 714/758

See application file for complete search history.

PRIOR-ART-DISCLOSED:

## U.S. PATENT DOCUMENTS

| PAT-NO         | ISSUE-DATE    | PATENTEE-NAME | US-CL |
|----------------|---------------|---------------|-------|
| <u>4761785</u> | August 1988   | Clark et al.  |       |
| <u>5088081</u> | February 1992 | Farr          |       |
| <u>5195100</u> | March 1993    | Katz et al.   |       |
| <u>5208813</u> | May 1993      | Stallmo       |       |

|                |                |                   |         |
|----------------|----------------|-------------------|---------|
| <u>5235601</u> | August 1993    | Stallmo et al.    |         |
| <u>5239640</u> | August 1993    | Froemke et al.    |         |
| <u>5278838</u> | January 1994   | Ng et al.         |         |
| <u>5283791</u> | February 1994  | Halford           | 714/766 |
| <u>5313626</u> | May 1994       | Jones et al.      |         |
| <u>5341381</u> | August 1994    | Fuller            |         |
| <u>5386425</u> | January 1995   | Kim               | 714/755 |
| <u>5392290</u> | February 1995  | Brown et al.      | 714/6   |
| <u>5517484</u> | May 1996       | Takagi et al.     |         |
| <u>5537567</u> | July 1996      | Galbraith et al.  |         |
| <u>5666511</u> | September 1997 | Suganuma et al.   | 711/114 |
| <u>5675726</u> | October 1997   | Hohenstein et al. |         |
| <u>5696775</u> | December 1997  | Nemazie et al.    |         |
| <u>5758057</u> | May 1998       | Baba et al.       | 714/7   |
| <u>5765183</u> | June 1998      | Kojima et al.     |         |
| <u>5864440</u> | January 1999   | Hashimoto et al.  | 360/53  |
| <u>5864655</u> | January 1999   | Dewey et al.      | 714/7   |
| <u>5903532</u> | May 1999       | Ikeda             |         |
| <u>5948110</u> | September 1999 | Hitz et al.       |         |
| <u>5963962</u> | October 1999   | Hitz et al.       |         |
| <u>6006308</u> | December 1999  | Matsunami et al.  | 711/114 |
| <u>6317844</u> | November 2001  | Kleiman           |         |
| <u>6637007</u> | October 2003   | Bots              |         |

## FOREIGN PATENT DOCUMENTS

| FOREIGN-PAT-NO | PUBN-DATE      | COUNTRY | CLASS |
|----------------|----------------|---------|-------|
| 694 25 658     | April 2001     | DE      |       |
| 0 462 917      | December 1991  | EP      |       |
| 0 462 917      | December 1991  | EP      |       |
| 0 462 917      | December 1991  | EP      |       |
| 0 466 296      | January 1992   | EP      |       |
| 0 492 808      | July 1992      | EP      |       |
| 0 492 808      | July 1992      | EP      |       |
| 0 559 488      | September 1993 | EP      |       |
| 0 997 900      | May 2000       | EP      |       |
| 0 702 815      | August 2000    | EP      |       |
| 0 927 395      | May 2003       | EP      |       |
| 07-261947      | July 1996      | JP      |       |
| WO 94/29807    | December 1994  | WO      |       |
| WO 03/009286   | January 2003   | WO      |       |

## OTHER PUBLICATIONS

IBM Technical Disclosure Bulletin, vol. 36 No. 03, Mar. 1993, "Parity Preservation for Redundant Array of Independent Direct Access Storage Device Data Loss Minimization and Repair". XP000354845.

Steven R. Kleiman et al., "Using NUMA Interconnects for Highly Available Filers", Jan.-Feb.

1999. IEEE.

Jai Menon et al., "The Architecture of a Fault-Tolerant Cached RAID Controller", IEEE Computer Society Press, Los Alamitos, California, May 16-19, 1993. XP000398988.

Slashdot. Tux2: "The Filesystem That Would Be King", Oct. 17, 2000.

IBM. "Automated Problem Reporting." IBM Technical Disclosure Bulletin, Nov. 1989, pp. 466-468, vol. 32, no. 6A.

Kegel. "Apache Problem Report Database." <http://bugs.apache.org/>, May 24, 1999.

ART-UNIT: 2133

PRIMARY-EXAMINER: Torres; Joseph D.

ATTY-AGENT-FIRM: Swernofsky Law Group PC

#### ABSTRACT:

A method and apparatus for a reliable data storage system using block level checksums appended to data blocks. Files are stored on hard disks in storage blocks, including data blocks and block-appended checksums. The block-appended checksum includes a checksum of the data block, a VBN, a DBN, and an embedded checksum for checking the integrity of the block-appended checksum itself. A file system includes file blocks with associated block-appended checksum to the data blocks. The file blocks with block-appended checksums are written to storage blocks. In a preferred embodiment a collection of disk drives are formatted with 520 bytes of data per sector. For each 4,096-byte file block, a corresponding 64-byte block-appended checksum is appended to the file block with the first 7 sectors including most of the file block data while the 8.sup.th sector includes the remaining file block data and the 64-byte block-appended checksum.

18 Claims, 3 Drawing figures

| Full | Title | Citation | Front | Review | Classification | Date | Reference |  |  | Claims | KWIC | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|------|-----------|-------|
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|------|-----------|-------|

#### ☐ 9. Document ID: US 6910154 B1

L6: Entry 9 of 16

File: USPT

Jun 21, 2005

US-PAT-NO: 6910154

DOCUMENT-IDENTIFIER: US 6910154 B1

TITLE: Persistent and reliable delivery of event messages

DATE-ISSUED: June 21, 2005

#### INVENTOR-INFORMATION:

| NAME              | CITY      | STATE | ZIP CODE | COUNTRY |
|-------------------|-----------|-------|----------|---------|
| Schoenthal; Scott | San Ramon | CA    |          |         |

#### ASSIGNEE-INFORMATION:

| NAME                    | CITY      | STATE | ZIP CODE | COUNTRY | TYPE CODE |
|-------------------------|-----------|-------|----------|---------|-----------|
| Network Appliance, Inc. | Sunnyvale | CA    |          |         | 02        |

APPL-NO: 09/642064 [PALM]

DATE FILED: August 18, 2000

INT-CL-ISSUED: [07] G06 F 11/00

US-CL-ISSUED: 714/18; 714/17

US-CL-CURRENT: 714/18; 714/17

FIELD-OF-CLASSIFICATION-SEARCH: 714/18, 714/17, 714/16, 714/15, 714/4, 714/12, 714/48  
See application file for complete search history.

PRIOR-ART-DISCLOSED:

#### U.S. PATENT DOCUMENTS

| PAT-NO         | ISSUE-DATE     | PATENTEE-NAME     | US-CL   |
|----------------|----------------|-------------------|---------|
| <u>4937763</u> | June 1990      | Mott              | 364/550 |
| <u>5067099</u> | November 1991  | McCown et al.     | 364/550 |
| <u>5224095</u> | June 1993      | Woest et al.      | 370/401 |
| <u>5265229</u> | November 1993  | Sareen            | 710/100 |
| <u>5423068</u> | June 1995      | Hecker            | 455/438 |
| <u>6119244</u> | September 2000 | Schoenthal et al. | 714/4   |
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| <u>6519712</u> | February 2003  | Kim et al.        | 714/15  |
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| FOREIGN-PAT-NO | PUBN-DATE  | COUNTRY | CLASS |
|----------------|------------|---------|-------|
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Borg, Anita et al.; "Fault Tolerance Under UNIX"; Feb. 1989; ACM Transactions on Computer Systems, vol 7, No. 1, Feb. 1989, pp. 1-247.

ART-UNIT: 2114

PRIMARY-EXAMINER: Baderman; Scott

ASSISTANT-EXAMINER: Lohn; Joshua

ATTY-AGENT-FIRM: Swernofsky Law Group PC

ABSTRACT:

The invention provides a method and system for persistent and reliable delivery of event messages. Those parts of the system responsible for delivering event messages are able to persistently maintain those event messages until the intended recipient of the event message confirms delivery of those event messages. Those parts of the system responsible for recovering

from system crashes and other system errors are able to persistently maintain those event messages until delivery, even after recovery from system crashes or other system errors. The system includes a set of event message producers, and maintains an event-indication queue of those event messages provided by the event producers using a set of pre-allocated resources. An event-distribution engine distributes event messages to intended recipients and, after having received confirmation that the event messages were received, removes them from the event-indication queue. Recipients of event messages receive the event messages, acknowledge their receipt thereof, and might take action in response to the event message. The system includes persistent memory, initialization memory, and recipient persistent memories, and provides upon recovery from system crashes or other system error, an ability to replay event messages recorded in those memories, to re-present them as event messages. A cluster of file servers collectively forming a highly-available system shares persistent memories, so that upon a system crash or other system error, at least one other file server has a record of those event messages.

27 Claims, 4 Drawing figures

| Full | Title | Citation | Front | Review | Classification | Date | Reference |  |  | Claims | RMC | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|-----|-----------|-------|
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|-----|-----------|-------|

☐ 10. Document ID: US 6757695 B1

L6: Entry 10 of 16

File: USPT

Jun 29, 2004

US-PAT-NO: 6757695

DOCUMENT-IDENTIFIER: US 6757695 B1

TITLE: System and method for mounting and unmounting storage volumes in a network storage environment

DATE-ISSUED: June 29, 2004

INVENTOR-INFORMATION:

| NAME             | CITY      | STATE | ZIP CODE | COUNTRY |
|------------------|-----------|-------|----------|---------|
| Noveck; David B. | Lexington | MA    |          |         |
| Chen; Raymond C. | Campbell  | CA    |          |         |

ASSIGNEE-INFORMATION:

| NAME                    | CITY      | STATE | ZIP CODE | COUNTRY | TYPE CODE |
|-------------------------|-----------|-------|----------|---------|-----------|
| Network Appliance, Inc. | Sunnyvale | CA    |          |         | 02        |

APPL-NO: 09/925919 [PALM]

DATE FILED: August 9, 2001

INT-CL-ISSUED: [07] H06 F 17/30

US-CL-ISSUED: 707/200; 707/101

US-CL-CURRENT: 707/200; 707/101

FIELD-OF-CLASSIFICATION-SEARCH: 707/8, 707/102, 707/205, 710/8, 710/19, 710/302, 711/114, 711/118, 711/156, 714/4, 714/6

See application file for complete search history.

PRIOR-ART-DISCLOSED:

## U.S. PATENT DOCUMENTS

| PAT-NO         | ISSUE-DATE     | PATENTEE-NAME     | US-CL   |
|----------------|----------------|-------------------|---------|
| <u>5163131</u> | November 1992  | Row et al.        |         |
| <u>5355453</u> | October 1994   | Row et al.        |         |
| <u>5363487</u> | November 1994  | Willman et al.    | 710/8   |
| <u>5485579</u> | January 1996   | Hitz et al.       |         |
| <u>5497457</u> | March 1996     | Ford              | 714/6   |
| <u>5802366</u> | September 1998 | Row et al.        |         |
| <u>5819292</u> | October 1998   | Hitz et al.       |         |
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| <u>5941972</u> | August 1999    | Hoese et al.      |         |
| <u>5948110</u> | September 1999 | Hitz et al.       | 714/6   |
| <u>5950225</u> | September 1999 | Kleiman           |         |
| <u>5963962</u> | October 1999   | Hitz et al.       |         |
| <u>5999930</u> | December 1999  | Wolff             | 707/8   |
| <u>6038570</u> | March 2000     | Hitz et al.       |         |
| <u>6052692</u> | April 2000     | Anderson et al.   | 707/102 |
| <u>6065037</u> | May 2000       | Hitz et al.       |         |
| <u>6119244</u> | September 2000 | Schoenthal et al. | 714/4   |
| <u>6138126</u> | October 2000   | Hitz et al.       |         |
| <u>6425035</u> | July 2002      | Hoese et al.      |         |

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U.S. Pending patent application entitled, Operator Initiated Graceful Takeover in a Node Cluster, Cramer et al., Ser. No. 09/933,866, Filed: Aug. 20, 2001, all pages.

ART-UNIT: 2175

PRIMARY-EXAMINER: Mizrahi; Diane D.

ASSISTANT-EXAMINER: Mofiz; Apu

ATTY-AGENT-FIRM: Cesari and McKenna, LLP

## ABSTRACT:

A system and method for mounting and unmounting volumes attached to a data storage system, such as a file server, tracks specific sub-states within each of the overall mounting and unmounting procedure states, in which specific file system requests are and are not allowed (as appropriate) with respect to the mounting/unmounting volume based upon the sub-state. More specifically, for mounting or unmounting, a storage operating system in the file server transitions a volume through a series of sub-states, as tracked in appropriate control structures. Each sub-state is characterized by a set of permitted operations that may be performed while the volume is in that sub-state, entrance and exit criteria for that sub-state, and restricted operations that may not be performed while the volume is that sub-state. During transaction request handling, the storage operating system validates each request against the sub-state to determine the disposition of the request. Then, depending on the request and the

sub-state, the storage operating system will execute the request, ignore the request, hold the request for later execution, or return an error message to the client originating the request.

24 Claims, 9 Drawing figures

| Full | Title | Citation | Front | Review | Classification | Date | Reference |  |  | Claims | DOC | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|-----|-----------|-------|
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|-----|-----------|-------|

# 11. Document ID: US 6751635 B1

L6: Entry 11 of 16

File: USPT

Jun 15, 2004

US-PAT-NO: 6751635

DOCUMENT-IDENTIFIER: US 6751635 B1

TITLE: File deletion and truncation using a zombie file space

DATE-ISSUED: June 15, 2004

## INVENTOR-INFORMATION:

| NAME             | CITY      | STATE | ZIP CODE | COUNTRY |
|------------------|-----------|-------|----------|---------|
| Chen; Ray        | Campbell  | CA    |          |         |
| Edwards; John K. | Sunnyvale | CA    |          |         |
| Patel; Kayuri    | Cupertino | CA    |          |         |

## ASSIGNEE-INFORMATION:

| NAME                    | CITY      | STATE | ZIP CODE | COUNTRY | TYPE CODE |
|-------------------------|-----------|-------|----------|---------|-----------|
| Network Appliance, Inc. | Sunnyvale | CA    |          |         | 02        |

APPL-NO: 09/642066 [PALM]

DATE FILED: August 18, 2000

INT-CL-ISSUED: [07] G06 F 17/30

US-CL-ISSUED: 707/200; 707/10, 707/202, 707/206

US-CL-CURRENT: 707/200; 707/10, 707/202, 707/206

FIELD-OF-CLASSIFICATION-SEARCH: 707/10, 707/201, 707/203, 707/206, 707/204, 707/205, 707/200, 707/202, 711/113, 711/114, 709/203, 709/219, 709/229

See application file for complete search history.

## PRIOR-ART-DISCLOSED:

### U.S. PATENT DOCUMENTS

| PAT-NO         | ISSUE-DATE    | PATENTEE-NAME   | US-CL   |
|----------------|---------------|-----------------|---------|
| <u>4888681</u> | December 1989 | Barnes et al.   | 707/101 |
| <u>4937763</u> | June 1990     | Mott            | 702/183 |
| <u>5067099</u> | November 1991 | McCown et al.   | 702/183 |
| <u>5088031</u> | February 1992 | Takasaki et al. | 709/100 |
| <u>5155835</u> | October 1992  | Belsan          | 711/114 |



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|---------------------|----------------|---------------------|-----------|
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| <u>5317728</u>      | May 1994       | Tevis et al.        | 707/204   |
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| <u>5535375</u>      | July 1996      | Eshel et al.        | 703/27    |
| <u>5557747</u>      | September 1996 | Rogers et al.       | 709/223   |
| <u>5564037</u>      | October 1996   | Lam                 | 711/161   |
| <u>5617568</u>      | April 1997     | Ault et al.         |           |
| <u>5832522</u>      | November 1998  | Blickenstaff et al. | 707/204   |
| <u>5946685</u>      | August 1999    | Cramer et al.       | 707/10    |
| <u>5963962</u>      | October 1999   | Hitz et al.         | 707/202   |
| <u>5991753</u>      | November 1999  | Wilde               | 707/2     |
| <u>5996054</u>      | November 1999  | Ledain et al.       | 711/203   |
| <u>6026402</u>      | February 2000  | Vossen et al.       |           |
| <u>6041334</u>      | March 2000     | Cannon              | 707/204   |
| <u>6101508</u>      | August 2000    | Wolff               | 709/223   |
| <u>6112211</u>      | August 2000    | Bradshaw et al.     | 707/205   |
| <u>6173293</u>      | January 2001   | Thekkath et al.     | 707/201   |
| <u>6247024</u>      | June 2001      | Kincaid             | 707/204   |
| <u>6249792</u>      | June 2001      | Zwilling et al.     | 707/205   |
| <u>6289356</u>      | September 2001 | Hitz et al.         | 707/201   |
| <u>6334114</u>      | December 2001  | Jacobs et al.       | 705/26    |
| <u>6353878</u>      | March 2002     | Dunham              | 711/162   |
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| <u>6529921</u>      | March 2003     | Berkowitz et al.    | 715/500.1 |
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## FOREIGN PATENT DOCUMENTS

| FOREIGN-PAT-NO | PUBN-DATE     | COUNTRY | CLASS     |
|----------------|---------------|---------|-----------|
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TUX 2: Slashdot.com TUX 2 "The File System That Would Be King", Microsoft Internet Explorer, Oct. 20, 2000.

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Hutchinson et al. "Logical vs. physical file system back up." Third Symposium on Operating Systems Design and Implementation, New Orleans, LA, USA, Feb. 22-25, 1999, pp. 239-249.

ART-UNIT: 2177

PRIMARY-EXAMINER: Homere; Jean R.

ASSISTANT-EXAMINER: Wong; Leslie

ATTY-AGENT-FIRM: Swernofsky Law Group PC

#### ABSTRACT:

A method and system for reliably performing extra-long operations in a reliable state-full system (such as a file system). The file system includes a separate portion of the file system reserved for files having extra-long operations in progress, including file deletion and file truncation. This separate portion of the file system is called the zombie file space; it includes a separate name space from the regular ("live") file system that is accessible to users, and is maintained as part of the file system when recording a consistency point.

27 Claims, 4 Drawing figures

| Full | Title | Citation | Front | Review | Classification | Date | Reference |  |  | Claims | KWIC | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|------|-----------|-------|
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|------|-----------|-------|

## ☐ 12. Document ID: US 6728922 B1

L6: Entry 12 of 16

File: USPT

Apr 27, 2004

US-PAT-NO: 6728922

DOCUMENT-IDENTIFIER: US 6728922 B1

TITLE: Dynamic data space

DATE-ISSUED: April 27, 2004

#### INVENTOR-INFORMATION:

|      |      |       |          |         |
|------|------|-------|----------|---------|
| NAME | CITY | STATE | ZIP CODE | COUNTRY |
|------|------|-------|----------|---------|

|                         |               |    |
|-------------------------|---------------|----|
| Sundaram; Rajesh        | Mountain View | CA |
| Viswanathan; Srinivasan | Fremont       | CA |
| Rowe; Alan              | San Jose      | CA |
| Kleiman; Steven R.      | Los Altos     | CA |
| Edwards; John K.        | Sunnyvale     | CA |

## ASSIGNEE-INFORMATION:

| NAME                    | CITY      | STATE | ZIP CODE | COUNTRY | TYPE CODE |
|-------------------------|-----------|-------|----------|---------|-----------|
| Network Appliance, Inc. | Sunnyvale | CA    |          |         | 02        |

APPL-NO: 09/642062 [PALM]

DATE FILED: August 18, 2000

INT-CL-ISSUED: [07] G11 C 29/00

US-CL-ISSUED: 714/769; 714/763, 714/770

US-CL-CURRENT: 714/769; 714/763, 714/770

FIELD-OF-CLASSIFICATION-SEARCH: 711/162, 707/204, 707/102, 714/763, 714/764, 714/769, 714/770  
See application file for complete search history.

## PRIOR-ART-DISCLOSED:

## U.S. PATENT DOCUMENTS

| PAT-NO         | ISSUE-DATE     | PATENTEE-NAME  | US-CL   |
|----------------|----------------|----------------|---------|
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| <u>4937763</u> | June 1990      | Mott           | 364/550 |
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| <u>5235601</u> | August 1993    | Stallmo et al. |         |
| <u>5274799</u> | December 1993  | Brant et al.   |         |
| <u>5274807</u> | December 1993  | Hoshen et al.  |         |
| <u>5297265</u> | March 1994     | Frank et al.   |         |

|                |                |                   |         |
|----------------|----------------|-------------------|---------|
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| <u>5333305</u> | July 1994      | Neufeld           |         |
| <u>5335235</u> | August 1994    | Arnott            |         |
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| <u>5829046</u> | October 1998   | Tzelnic et al.    | 711/162 |
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| <u>5950225</u> | September 1999 | Kleiman           |         |
| <u>5963962</u> | October 1999   | Hitz et al.       |         |
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| <u>6076148</u> | June 2000      | Kedem             |         |
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| <u>6119244</u> | September 2000 | Schoenthal et al. |         |
| <u>6138126</u> | October 2000   | Hitz et al.       |         |
| <u>6397229</u> | May 2002       | Menon et al.      | 707/204 |
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## FOREIGN PATENT DOCUMENTS

| FOREIGN-PAT-NO | PUBN-DATE      | COUNTRY | CLASS |
|----------------|----------------|---------|-------|
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| 0 497 067      | August 1992    | EP      |       |
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| 0 552 580      | July 1993      | EP      |       |
| 0 569 313      | November 1993  | EP      |       |
| WO 91/13404    | September 1991 | WO      |       |
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|             |                |    |
|-------------|----------------|----|
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ART-UNIT: 2133

PRIMARY-EXAMINER: Ton; David

ATTY-AGENT-FIRM: Swernofsky Law Group PC

## ABSTRACT:

A data storage system, such as RAID, upgraded dynamically including multiple stages, providing error checking data without taking the system off-line. Checksums are computed from the data and placed in block 63 of the same disk. The combination of parity bits across the parity disk, the remaining uncorrupted data in the data disks, and checksums within each disk includes sufficient information to enable restoration of corrupt data. The system is upgraded by reserving permanent checksum blocks, writing the checksums to a volume block number, and placing the checksums in permanently reserved checksum block locations after first moving data already there to unreserved blocks.

42 Claims, 3 Drawing figures

|      |       |          |       |        |                |      |           |  |  |        |      |           |       |
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|------|-----------|-------|
| Full | Title | Citation | Front | Review | Classification | Date | Reference |  |  | Claims | FIGS | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|------|-----------|-------|

☐ 13. Document ID: US 6643654 B1

L6: Entry 13 of 16

File: USPT

Nov 4, 2003

US-PAT-NO: 6643654

DOCUMENT-IDENTIFIER: US 6643654 B1

**\*\* See image for Certificate of Correction \*\***

TITLE: System and method for representing named data streams within an on-disk structure of a file system

DATE-ISSUED: November 4, 2003

## INVENTOR-INFORMATION:

| NAME             | CITY      | STATE | ZIP CODE | COUNTRY |
|------------------|-----------|-------|----------|---------|
| Patel; Kayuri    | Cupertino | CA    |          |         |
| Muhlestein; Mark | Tuscon    | AZ    |          |         |

## ASSIGNEE-INFORMATION:

| NAME                    | CITY      | STATE | ZIP CODE | COUNTRY | TYPE CODE |
|-------------------------|-----------|-------|----------|---------|-----------|
| Network Appliance, Inc. | Sunnyvale | CA    |          |         | 02        |

APPL-NO: 09/891159 [PALM]

DATE FILED: June 25, 2001

INT-CL-ISSUED: [07] G06 F 17/00

US-CL-ISSUED: 707/10; 709/217, 711/100

US-CL-CURRENT: 707/10; 709/217, 711/100

FIELD-OF-CLASSIFICATION-SEARCH: 707/1-10, 707/100-104, 707/200-206, 711/100-116, 711/117-146, 709/212-237

See application file for complete search history.

PRIOR-ART-DISCLOSED:

## U.S. PATENT DOCUMENTS

| PAT-NO         | ISSUE-DATE     | PATENTEE-NAME   | US-CL   |
|----------------|----------------|-----------------|---------|
| <u>5819292</u> | October 1998   | Hitz et al.     |         |
| <u>5948110</u> | September 1999 | Hitz et al.     |         |
| <u>6038570</u> | March 2000     | Hitz et al.     |         |
| <u>6178483</u> | January 2001   | Runaldue et al. | 711/137 |
| <u>6230200</u> | May 2001       | Forecast et al. | 709/219 |
| <u>6496899</u> | December 2002  | DeMoney         | 711/112 |

## OTHER PUBLICATIONS

Sheth et al., Data structure distribution and multi-threading of Linux file system for multiprocessors, High Performance Computing, 1998, HIPC '98 5th International Conference On, Dec. 17-20, 1998, pp. 97-104.\*

Hsiao et al., Performance evaluation of cache depot on CC-NUMA multiprocessors, Parallel and Distributed Systems, 1998, Proceedings, 1998, International Conference on, Dec. 14-16, 1998, pp. 519-526.\*

Brewer et al., The evolution of the HP/Convex Exemplar, Compcon '97, Proceedings, IEEE, Feb. 23-26, 1997, pp. 81-86.\*

U.S. patent application Ser. No. 09/642,066, Ray Chen et al., filed Aug. 18, 2000.  
SNIA Storage Networking Industry Association; Common Internet File System (CIFS), Version:  
CIFS-Spec 0.9. Draft SNIA CIFS Work Group Work-in-Progress.

ART-UNIT: 2175

PRIMARY-EXAMINER: Jung; David

ATTY-AGENT-FIRM: Cesari and McKenna, LLP

ABSTRACT:

A technique provides on-disk representations of multiple named data streams for a file system of a network storage appliance. The network storage appliance or filer includes a file system that implements a Write Anywhere File Layout (WAFL) disk format, wherein files are described by inodes of which there may be various types, including directory and regular inodes. A named stream inode type is defined that represents named data streams in the WAFL file system. Multiple data streams may be stored on disk(s) of the filer as representations embodying the named stream inode type associated with a file. The names and file handles of the data streams are stored in a "hidden" directory within the file system that is referenced by the base mode. The hidden directory is represented as a stream\_dir inode type.

29 Claims, 8 Drawing figures

| Full | Title | Citation | Front | Review | Classification | Date | Reference |  |  | Claims | NAME | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|------|-----------|-------|
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|------|-----------|-------|

☐ 14. Document ID: US 6636879 B1

L6: Entry 14 of 16

File: USPT

Oct 21, 2003

US-PAT-NO: 6636879

DOCUMENT-IDENTIFIER: US 6636879 B1

TITLE: Space allocation in a write anywhere file system

DATE-ISSUED: October 21, 2003

INVENTOR-INFORMATION:

| NAME                 | CITY      | STATE | ZIP CODE | COUNTRY |
|----------------------|-----------|-------|----------|---------|
| Doucette; Douglas P. | Freeland  | WA    |          |         |
| Lewis; Blake         | Palo Alto | CA    |          |         |
| Edwards; John K.     | Sunnyvale | CA    |          |         |

ASSIGNEE-INFORMATION:

| NAME                    | CITY      | STATE | ZIP CODE | COUNTRY | TYPE CODE |
|-------------------------|-----------|-------|----------|---------|-----------|
| Network Appliance, Inc. | Sunnyvale | CA    |          |         | 02        |

APPL-NO: 09/642065 [PALM]

DATE FILED: August 18, 2000

INT-CL-ISSUED: [07] G06 F 17/30

US-CL-ISSUED: 707/205

US-CL-CURRENT: 707/205

FIELD-OF-CLASSIFICATION-SEARCH: 707/201, 707/202, 707/203, 707/204, 707/205, 360/48, 369/30.09, 711/165, 711/173, 714/4, 714/6

See application file for complete search history.

PRIOR-ART-DISCLOSED:

## U.S. PATENT DOCUMENTS

| PAT-NO         | ISSUE-DATE     | PATENTEE-NAME     | US-CL   |
|----------------|----------------|-------------------|---------|
| <u>4527232</u> | July 1985      | Bechtolsheim      |         |
| <u>4742447</u> | May 1988       | Duvall et al.     |         |
| <u>4742450</u> | May 1988       | Duvall et al.     |         |
| <u>4761785</u> | August 1988    | Clark et al.      |         |
| <u>4875159</u> | October 1989   | Cary et al.       |         |
| <u>4937763</u> | June 1990      | Mott              | 702/183 |
| <u>5008786</u> | April 1991     | Thatte            |         |
| <u>5043876</u> | August 1991    | Terry             |         |
| <u>5067099</u> | November 1991  | McCown et al.     | 702/183 |
| <u>5088081</u> | February 1992  | Farr              |         |
| <u>5144659</u> | September 1992 | Jones             |         |
| <u>5146588</u> | September 1992 | Crater et al.     |         |
| <u>5155835</u> | October 1992   | Belsan            |         |
| <u>5163131</u> | November 1992  | Row et al.        |         |
| <u>5163148</u> | November 1992  | Walls             |         |
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| <u>5333305</u> | July 1994      | Neufeld           |         |
| <u>5335235</u> | August 1994    | Arnott            |         |
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| <u>5502836</u> | March 1996     | Hale et al.       |         |
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| <u>5819310</u> | October 1998   | Vishlitzky et al. |         |
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| <u>5948110</u> | September 1999 | Hitz et al.       |         |
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| <u>6000039</u> | December 1999  | Tanaka et al.     |         |
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| <u>6138126</u> | October 2000   | Hitz et al.       | 707/202 |
| <u>6289356</u> | September 2001 | Hitz et al.       | 707/201 |

## FOREIGN PATENT DOCUMENTS

| FOREIGN-PAT-NO | PUBN-DATE      | COUNTRY | CLASS     |
|----------------|----------------|---------|-----------|
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| 0 492 808      | July 1992      | EP      |           |
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| 0 552 580      | July 1993      | EP      |           |
| 0 569 313      | November 1993  | EP      |           |
| WO 91/13404    | September 1991 | WO      |           |
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Wiebren De Jonge, "The Logical Disk: A new Approach to Improving File Systems", Dept. of Mathematics and Computer Science, Vrije Universiteit, Amsterdam.

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John H. Hartman, "The Zebra Striped Network File System", Computer Science Division, Electrical Engineering and Computer Sciences, University of California, Berkeley, California.

David Hitz, "File System Design for an NFS File Server Appliance", Technical Report 3002, Presented Jan. 19, 1994. USENIX Winter 1994, San Francisco, California.

David Hitz, "An NFS File Server Appliance", Technical Report TRO1, Rev. A 8/93.  
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ART-UNIT: 2175

PRIMARY-EXAMINER: Mizrahi; Diane D.

ASSISTANT-EXAMINER: Mofiz; Apu M

ATTY-AGENT-FIRM: Swernofsky Law Group PC

#### ABSTRACT:

A method and system for improving data access of a reliable file system is provided. In a first aspect of the invention, the file system determines the relative vacancy of a collection of storage blocks, herein called an "allocation area". This is accomplished by recording an array of binary numbers. Each binary number in the array describes the vacancy of a collection of storage blocks. The file system examines these binary numbers when attempting to record file blocks in relatively contiguous areas on a storage medium, such as a hard disk. When a request to write to disk occurs, the system determines the average vacancy of all the allocation areas and queries the allocation areas for individual vacancy rates such as sequentially. The system preferably writes file blocks to the allocation areas that are above a threshold related to the average storage block vacancy of the file system. If the file in the request to write is larger than the selected allocation area, the next allocation area above the threshold is preferably used to write the remaining blocks of the file.

39 Claims, 3 Drawing figures

| Full | Title | Citation | Front | Review | Classification | Date | Reference |  |  | Claims | KWIC | Draw Desc | Image |
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|------|-----------|-------|
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|------|-----------|-------|

#### ☐ 15. Document ID: US 20020083081 A1

L6: Entry 15 of 16

File: DWPI

Jun 27, 2002

DERWENT-ACC-NO: 2002-583165

DERWENT-WEEK: 200262

COPYRIGHT 2006 DERWENT INFORMATION LTD

TITLE: File system operating method for file server system, involves recording changes to the zombie file space of a file system in a persistent memory

INVENTOR: CHEN, R C; EDWARDS, J ; PATEL, K

PRIORITY-DATA: 2001US-0932579 (August 17, 2001), 2000US-0642066 (August 18, 2000)

## PATENT-FAMILY:

| PUB-NO                   | PUB-DATE      | LANGUAGE | PAGES | MAIN-IPC   |
|--------------------------|---------------|----------|-------|------------|
| <u>US 20020083081 A1</u> | June 27, 2002 |          | 014   | G06F012/00 |

INT-CL (IPC): G06 F 12/00

ABSTRACTED-PUB-NO: US20020083081A

## BASIC-ABSTRACT:

NOVELTY - The method involves recording changes to the zombie file space of a file system in a persistent memory.

USE - For file server system.

ADVANTAGE - Enables reliable execution of extra-long operations in a file system.

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of a portion of a file system.

| Full | Title | Citation | Front | Review | Classification | Date | Reference |  | Claims | KMC | Draw Desc | Clip Img | Ima |
|------|-------|----------|-------|--------|----------------|------|-----------|--|--------|-----|-----------|----------|-----|
|------|-------|----------|-------|--------|----------------|------|-----------|--|--------|-----|-----------|----------|-----|

☐ 16. Document ID: US 20050033775 A1, WO 200219110 A2, EP 1311948 A2, US 6751635 B1

L6: Entry 16 of 16

File: DWPI

Feb 10, 2005

DERWENT-ACC-NO: 2002-339696

DERWENT-WEEK: 200512

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TITLE: File system operating method where file system includes live file space accessible to users and zombie file space not accessible to users recording changes to zombie file space in persistent memory

INVENTOR: CHEN, R; EDWARDS, J K ; PATEL, K

PRIORITY-DATA: 2000US-0642066 (August 18, 2000), 2004US-0868189 (June 15, 2004)

## PATENT-FAMILY:

| PUB-NO                   | PUB-DATE          | LANGUAGE | PAGES | MAIN-IPC   |
|--------------------------|-------------------|----------|-------|------------|
| <u>US 20050033775 A1</u> | February 10, 2005 |          | 000   | G06F007/00 |
| <u>WO 200219110 A2</u>   | March 7, 2002     | E        | 027   | G06F011/00 |
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| <u>US 6751635 B1</u>     | June 15, 2004     |          | 000   | G06F017/30 |

INT-CL (IPC): G06 F 7/00; G06 F 11/00; G06 F 11/14; G06 F 17/30

ABSTRACTED-PUB-NO: WO 200219110A

## BASIC-ABSTRACT:

NOVELTY - The method involves recording changes to a zombie file space in a persistent memory. The method further involves transferring a file from live file space to the zombie file space. Links associating disk blocks with the file are broken in several steps while the file is associated with the zombie file space. The recording of changes includes recording the breaking of links in several steps. The live file space is altered to reflect the deletion operation.

USE - For file server systems in which it is desired to maintain file system consistency.

ADVANTAGE - Provides technique for extra-long operations in a reliable state-full system (such as a file system) that is not subject to known drawbacks.

DESCRIPTION OF DRAWING(S) - The figure shows a file structure in a system using a zombie file space.

|      |       |          |       |        |                |      |           |  |  |        |      |           |          |     |
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|------|-----------|----------|-----|
| Full | Title | Citation | Front | Review | Classification | Date | Reference |  |  | Claims | KVMC | Draw Desc | Clip Img | Ima |
|------|-------|----------|-------|--------|----------------|------|-----------|--|--|--------|------|-----------|----------|-----|

|       |                     |       |          |           |               |
|-------|---------------------|-------|----------|-----------|---------------|
| Clear | Generate Collection | Print | Fwd Refs | Bkwd Refs | Generate OACS |
|-------|---------------------|-------|----------|-----------|---------------|

| Term   | Documents |
|--|-----------|
| ZOMBIE   | 193       |
| ZOMBIES  | 57        |
| FILE   | 1069695   |
| FILES  | 176001    |
| (ZOMBIE NEAR<br>FILE) .PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD.   | 16        |
| ((ZOMBIE NEAR<br>FILE)) .PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD. | 16        |

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IBM Technical Disclosure Bulletins

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(zombie near file)

Display:

100

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1

Generate:

☐ Hit List

☒ Hit Count

☐ Side by Side

☐ Image

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| DB=PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=YES; OP=OR |                             |                  |                               |
| <u>L7</u>  | L6 and filespace            | 3                | <u>L7</u>                     |
| <u>L6</u>  | (zombie near file)          | 16               | <u>L6</u>                     |
| <u>L5</u>  | L4 and (zombie near file)   | 0                | <u>L5</u>                     |
| <u>L4</u>  | L3 and (deletion near file) | 7                | <u>L4</u>                     |
| <u>L3</u>  | inaccessible near file      | 158              | <u>L3</u>                     |
| <u>L2</u>  | virtual near filespace      | 2                | <u>L2</u>                     |
| <u>L1</u>  | zombie near filespace       | 3                | <u>L1</u>                     |

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IBM Technical Disclosure Bulletins

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|--|-----------------------------|------------------|-------------------------------|
| DB=PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=YES; OP=OR |                             |                  |                               |
| <u>L9</u>  | L8 and filespace            | 3                | <u>L9</u>                     |
| <u>L8</u>  | (deletion near file)        | 1483             | <u>L8</u>                     |
| <u>L7</u>  | L6 and filespace            | 3                | <u>L7</u>                     |
| <u>L6</u>  | (zombie near file)          | 16               | <u>L6</u>                     |
| <u>L5</u>  | L4 and (zombie near file)   | 0                | <u>L5</u>                     |
| <u>L4</u>  | L3 and (deletion near file) | 7                | <u>L4</u>                     |
| <u>L3</u>  | inaccessible near file      | 158              | <u>L3</u>                     |
| <u>L2</u>  | virtual near filespace      | 2                | <u>L2</u>                     |
| <u>L1</u>  | zombie near filespace       | 3                | <u>L1</u>                     |

END OF SEARCH HISTORY